

Topological order in the color-flavor locked phase of  
(3+1)-dimensional  $U(N)$  gauge-Higgs system

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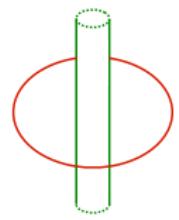
2019. 11. 14

Nagoya U. E-lab seminar

Based on Y. Hidaka, Y Hirono, M. Nitta, Y. Tanizaki, RY,

1903.06389

## Overview of this talk


$$= \exp \frac{2\pi i k}{3k+1} \in \mathbb{Z}_{3k+1}$$

(for  $N = 3$ )

Color-flavor locked phase of a  $U(N)$  gauge theory with  $N$ -Higgs fields is **topologically ordered** if the Higgs fields have non-trivial  $U(1)$  charge  $k$ .

- Non-Abelian vortex and Wilson loop have a  $\mathbb{Z}_{Nk+1}$  fractional linking phase.
- There are  $\mathbb{Z}_{Nk+1}$  **1- and 2-form symmetries**, and both of them are spontaneously broken.

- ① Introduction
- ② Topological order in Abelian Higgs model
- ③ Topological order in CFL phase of  $U(N)$  gauge-Higgs system

# Motivation

## Understanding phases of gauge theories

- Gauge theories in many contexts

SM, BSM, superconductor (SC), cosmology, string theory, ...

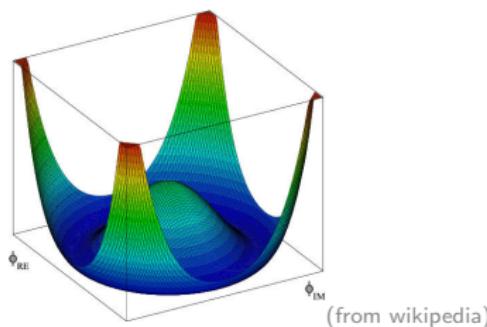
- Classified by behavior of potentials at **IR (long range)**

Coulomb, confined, **Higgs**,... (e.g. [Intriligator & Seiberg '95])

**Q. Can we classify each of the phases more precisely?**

Today's talk: We focus on the Higgs phase

## Higgs phase



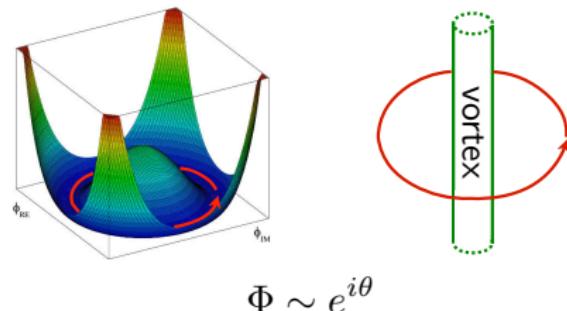
(from wikipedia)

- SM, GUTs, superconductor, ...
- Local (perturbative) properties
  - NG bosons can be eaten by gauge fields
  - Gauge fields become massive

Higgs phases can have **global (topological)** properties

## Global (topological) properties [Abrikosov '56; Nielsen & Olesen '73]

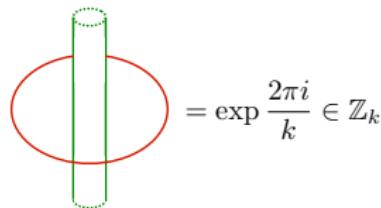
Higgs phases can admit **vortex strings with topological charge**



- Classical solution in Abelian Higgs model
- **Topological charge**: winding number around vortices
- Applied to magnetic flux in SC, cosmic string, ...

Higgs phases can be further classified by topology

## Topologically ordered phase [Wen '89, '91]



$$= \exp \frac{2\pi i}{k} \in \mathbb{Z}_k$$

- Phase classified by **topology** of **non-local order parameters**
- Order parameters: Wilson loop, vortex surface,...

Conditions for the topologically ordered phase:

1. Non-zero VEV of non-local order parameters
  2. Fractional linking phases between non-local operators
- New classification e.g. SC (charge 2)  $\neq$  charge 1 Abelian Higgs

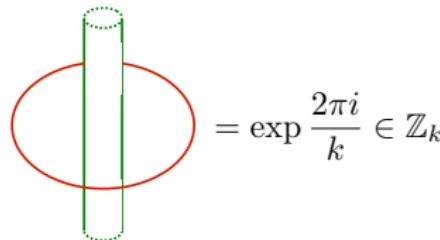
# Topological order in Abelian Higgs model

Review based on

Hansson, et al. '04; Banks & Seiberg '10;

Seiberg & Kapustin '14; Gaiotto, et al. '14

## Message



Abelian Higgs models can be classified by topological order.

- Order parameters are non-local: Wilson loop & Vortex surface.
- Fractional linking phase of extended objects
- E.g. (s-wave) superconductor (charge 2)  $\neq$  charge 1 Higgs model
- Topological order  $\leftrightarrow \mathbb{Z}_k$  1- & 2-form symmetry breaking

# Low energy limit of Abelian Higgs model

Topology often becomes dominant in low energy limit.

## Stückelberg action

$$\frac{v^2}{2} \int |d\chi - \textcolor{blue}{k} A|^2 \quad \text{or} \quad \frac{v^2}{2} \int d^4x |\partial_m \chi - k A_m|^2$$

- $\chi$ : NG boson of Higgs  $\Phi = v e^{i\chi}$  ( $v$ : vev)
- $A \sim A_m$ :  $U(1)$  1-form gauge field
- $k$ : charge of Higgs field e.g.  $\textcolor{blue}{k} = 2$  for superconductor

How to see topological properties?  $\rightarrow$  dual action

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Original Lagrangian:  $\frac{1}{4e^2} F^{mn} F_{mn} + |\partial_m \Phi - ik A_m \Phi|^2 + \frac{\lambda}{2} (|\Phi|^2 - v^2)^2$

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## Dual $BF$ -action [Horowitz '89; Blau & Tompson '89]

Stückelberg action  $\xleftrightarrow{\text{dual}}$   $BF$ -action

$$\frac{v^2}{2} \int |d\chi - \textcolor{blue}{k} A|^2 \xleftrightarrow{\text{dual}} \frac{\textcolor{blue}{k}}{2\pi} \int B \wedge dA \text{ or } \frac{i\textcolor{blue}{k}}{2\pi} \int d^4x \epsilon^{mnpq} B_{mn} \partial_p A_q$$

$B \sim B_{mn}$ : 2-form gauge field

Derivation:  $\chi \xleftrightarrow{\text{dual}}$  2-form  $B$

1. Rewrite Stückelberg action by adding 3-form  $H \sim H_{mnp}$

$$\frac{1}{8\pi^2 v^2} \int |H|^2 + \frac{1}{2\pi} \int H \wedge (d\chi - \textcolor{blue}{k} A)$$

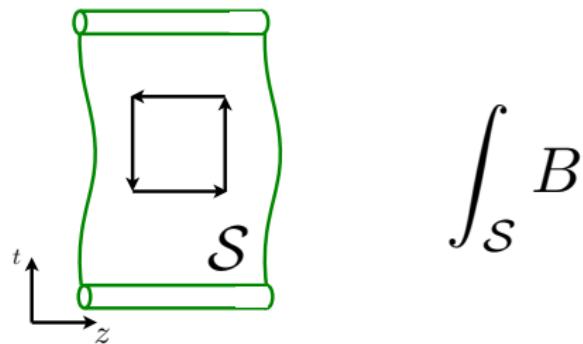
2. Eliminating  $\chi$  by EOM:  $dH = 0 \rightarrow H = dB$

3. Take low-energy limit ( $v \rightarrow \infty$ )

Why does 2-form gauge field  $B$  arise?

2-form gauge field  $B$  can be coupled to vortices electrically

[Sugamoto '78; Lee '93]

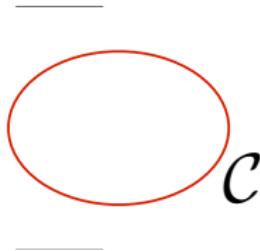


- Charge: topological charge of vortices
- Gauge symmetry of 2-form  $\leftrightarrow$  conservation of topological charge
- 2nd order tensor  $\leftrightarrow$  spacetime dimensions of vortices
- Anti-symmetric tensor  $\leftrightarrow$  orientation (Jacobian) of vortices

## Observables in $BF$ -theory [Horowitz '89]

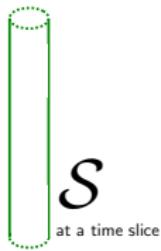
Wilson loop

$$W(\mathcal{C}) = e^{i \int_{\mathcal{C}} A}$$



Surface of vortex

$$V(\mathcal{S}) = e^{i \int_{\mathcal{S}} B}$$

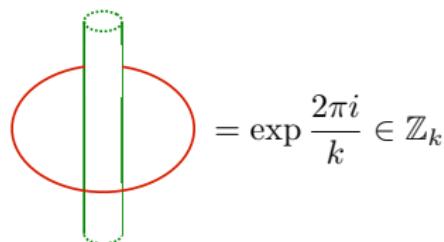


- Observables are non-local
- Observables depend on only topology
- No local DOF:  $dA = 0, dB = 0$  (by EOM)

Topological order?  $\rightarrow$  calculate  $\langle W(\mathcal{C})V(\mathcal{S}) \rangle$

Notes: the observables are invariant under gauge transf.  $A \rightarrow A + d\lambda^{(0)}$ ,  $B \rightarrow B + d\lambda^{(1)}$

Abelian Higgs model is topologically ordered [Hansson et al. '04]



1. **Fractional** linking phase:  $\langle W(\mathcal{C})V(\mathcal{S}) \rangle = \exp\left(\frac{2\pi i}{k} \text{ link } (\mathcal{C}, \mathcal{S})\right)$  [Derivation]

link ( $\mathcal{C}, \mathcal{S}$ ): linking number (**Topological invariant!**)

2. Non-zero VEVs of order parameters  $W(\mathcal{C})$  &  $V(\mathcal{S})$ :

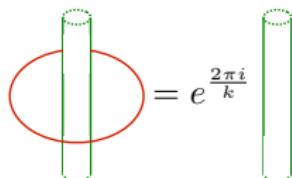
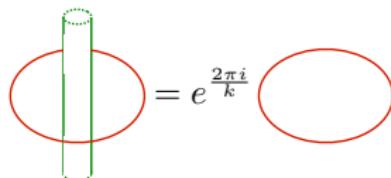
$$\langle W(\mathcal{C}) \rangle = \langle V(\mathcal{S}) \rangle = 1$$

Classification: e.g. superconductor ( $\mathbb{Z}_2$ )  $\neq$  charge 1 Higgs system ( $\mathbb{Z}_1 = 1$ )

Ordered phase  $\leftrightarrow$  symmetry breaking?

# 1- & 2-form symmetries [Kapustin & Seiberg '14; Gaiotto, et al. '14]

Symmetries under transf. of 1- & 2-dim. objects



	Group	Object	Generator	Transf.
1-form	$\mathbb{Z}_k$	$W(\mathcal{C})$	$V(\mathcal{S})$	$\langle V(\mathcal{S})W(\mathcal{C}) \rangle = e^{\frac{2\pi i}{k}} \langle W(\mathcal{C}) \rangle$
2-form	$\mathbb{Z}_k$	$V(\mathcal{S})$	$W(\mathcal{C})$	$\langle W(\mathcal{C})V(\mathcal{S}) \rangle = e^{\frac{2\pi i}{k}} \langle V(\mathcal{S}) \rangle$

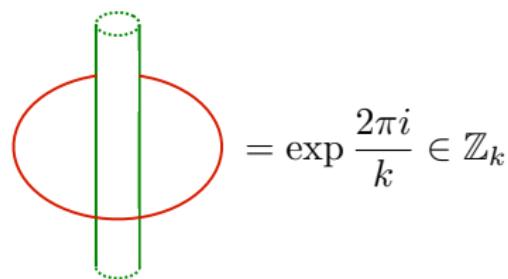
Topologically ordered phase  $\leftrightarrow$  spontaneously broken  $\mathbb{Z}_k$  1- & 2-form syms.

- Symmetry breaking:  $\langle W(\mathcal{C}) \rangle \neq 0$ ,  $\langle V(\mathcal{S}) \rangle \neq 0$  (at large distance limit)

## Notes

- ordinary symmetry is 0-form symmetry for particles
- $BF$ -action is invariant under 1- & 2-form transf. (up to  $2\pi\mathbb{Z}$ ).

## Summary of Abelian Higgs model



Abelian Higgs models can be classified by topological order.

- Order parameters are Wilson loop & Vortex surface.
- Fractional linking phase of extended objects
- Symmetry breaking: 1- & 2-form symmetries

# Topological order in CFL phase of $U(N)$ gauge-Higgs system

Y. Hidaka, Y. Hirono, M. Nitta, Y. Tanizaki, RY,

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# Topological order in non-Abelian Higgs models?

- Many symmetry breaking patterns
  - Some of gauge fields are massive, others are not.
  - Some NG boson may not be eaten.
- (cf. no topological order in CFL of QCD [Hirono & Tanizaki '18])
- Hints from Abelian case:
  - No local excitations in low-energy limit
  - Existence of extended objects

The hints suggest:

there may be a topologically ordered phase  
in a  $U(N)$  gauge theory with  $N$ -flavor Higgs fields

# $U(N)$ gauge theory with $N$ -flavor Higgs

[Hanany & Tong '03; Auzzi et al. '03; Gorsky et al. 04]

## Action (after Wick rotation)

$$\int \frac{1}{2g_1^2} \text{tr} |F|^2 + \frac{1}{2g_2^2} |\text{tr } F|^2 + |d\Phi - iA\Phi - i\textcolor{blue}{k} \text{tr}(A)\Phi|^2 + V(\Phi)$$

- Higgs fields:  $N(\text{color}) \times N(\text{flavor})$  matrix  $\Phi = (\Phi_{cf})$
  - Transf. law  $\Phi \rightarrow (\det U_{\text{col}})^{\textcolor{blue}{k}} U_{\text{col}} \Phi U_{\text{flav}}^T$
- $U_{\text{col}} \in U(N)_{\text{col}}$ ,  $U_{\text{flav}} \in SU(N)_{\text{flav}} / (\mathbb{Z}_N)_{\text{flav}}$
- $A$ :  $U(N)$  1-form gauge field

How about Higgs phase?

# Higgs phase with non-Abelian vortex

[Hanany & Tong '03; Auzzi et al. '03; Gorsky et al. 04]

## 1. There is a Higgs phase with no massless excitation

(# of gauge fields = # of Higgs fields)

- VEV of Higgs can be diagonalized  $\langle \Phi \rangle = v \mathbf{1}_{N \times N}$
- Color-flavor locked (CFL) phase:  
simultaneous color-flavor transf. remains  
(cf. QCD case [Alford et al. '98])

## 2. CFL phase Admits non-Abelian vortices $\Phi \sim \text{diag}(e^{i\theta}, 1, \dots, 1)$

- Fractional ( $1/N$ ) magnetic flux

We will show that this CFL phase can be a topologically ordered phase.

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$$\text{diag}(e^{i\theta}, 1, \dots, 1) = e^{i\theta/N} \text{diag}(e^{i(N-1)\theta/N}, e^{-i\theta/N}, \dots, e^{-i\theta/N}) \sim e^{i\theta/N} \mathbf{1}_{N \times N}$$

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## How to see topological order?

Conditions for the topological ordered phase

1. Existence of fractional linking phase,
2. Spontaneously broken 1- and 2-form symmetries

Procedure: similar to the case of Abelian Higgs model

1. Dual theory:  $\int |d\Phi - iA\Phi - ik \text{tr}(A)\Phi|^2 \quad \xleftrightarrow{\text{dual}} \quad \frac{i}{2\pi} K_{iA} \int b_i \wedge da_A$
2. Fractional linking phase
3. Non-zero VEVs of order parameters

In this talk, we consider  $N = 3$  case for simplicity.

# Low energy limit in CFL phase

Action is simplified in Abelian gauge: (cf. ['t Hooft '81])

## Stückelberg action

$$\frac{v^2}{2} \int |d\phi_i - K_{iA} a_A|^2, \quad K_{iA} = \begin{pmatrix} k+1 & k & k \\ k & k+1 & k \\ k & k & k+1 \end{pmatrix}$$

- Abelian gauge:  $\Phi = \frac{1}{\sqrt{2}} v \operatorname{diag}(e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3}) \in U(3)$
- $a_A$ : Cartan of  $U(3)$  gauge field
- $K_{iA}$ : matrix of charges ( $\det(K_{iA}) \neq 0$ )

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$$|d\phi_i - K_{iA} a_A|^2 = |d\phi_1 - (k+1)a_1 - ka_2 - ka_3|^2 + |d\phi_2 - ka_1 - (k+1)a_2 - ka_3|^2 + |d\phi_3 - ka_1 - ka_2 - (k+1)a_3|^2$$

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# Dual $BF$ -type theory

## The action

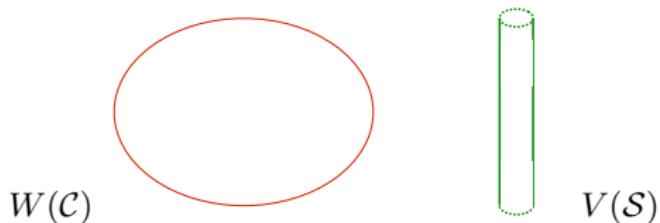
$$\frac{i}{2\pi} \mathcal{K}_{iA} \int b_i \wedge da_A$$

NG boson  $\phi_i \xleftrightarrow{\text{dual}}$  2-form  $b_i$

Derivation

1. Original action  $\frac{v^2}{2} \int |d\phi_i - \mathcal{K}_{iA} a_A|^2$
2. First order action by adding 3-form  $H_{3i}$   
 $\frac{1}{8\pi^2 v^2} \int |H_{3i}|^2 + \frac{i}{2\pi} \int H_{3i} \wedge (d\phi_i - \mathcal{K}_{iA} a_A)$
3. Eliminating  $\phi_i$  by EOM:  $dH_{3i} = 0 \rightarrow H_{3i} = db_i$
4. Take low-energy limit ( $v \rightarrow \infty$ )

Observables in  $\frac{i}{2\pi} K_{iA} \int b_i \wedge da_A$

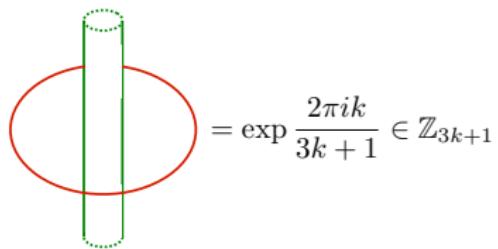


- Wilson loop:  $W(\mathcal{C}) = \frac{1}{N} \text{tr } \mathcal{P} e^{i \int_C A} = \frac{1}{N} \sum_{A=1}^N e^{i \int_C a_A}$
- Vortex surface operator:  $V_i(\mathcal{S}) = e^{i \int_S b_i}$

Observables depend on only topology

(No local DOF:  $da_A = 0, db_i = 0$  by EOM)

CFL phase is topologically ordered!



1.  $\mathbb{Z}_{3k+1}$  fractional phase in correlation function

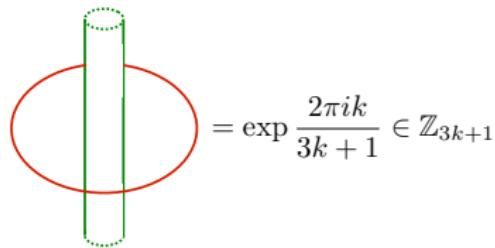
$$\langle W(\mathcal{C})V_i(\mathcal{S}) \rangle = \exp \left( \frac{2\pi i k}{3k+1} \text{link}(\mathcal{C}, \mathcal{S}) \right)$$

2. Non-zero VEVs  $\langle W(\mathcal{C}) \rangle = \langle V_i(\mathcal{S}) \rangle = 1$

Corresponding symmetries:  $\mathbb{Z}_{3k+1}$  1- & 2-form symmetries

- $\langle W(\mathcal{C})V_i(\mathcal{S}) \rangle = \exp \left( \frac{2\pi i k}{3k+1} \text{link}(\mathcal{C}, \mathcal{S}) \right) \langle W(\mathcal{C}) \rangle$
- $\langle W(\mathcal{C})V_i(\mathcal{S}) \rangle = \exp \left( \frac{2\pi i k}{3k+1} \text{link}(\mathcal{C}, \mathcal{S}) \right) \langle V(\mathcal{S}) \rangle$

## Some comments



- $U(1)$  charge  $k$  in  $\Phi \rightarrow (\det U_{\text{col}})^k U_{\text{col}} \Phi U_{\text{flav}}^T$  is important for the existence of topological order.
- Non-Abelian vortices in the previous research [Gorsky, et al. 04]:  $k = 0$  case ( $\mathbb{Z}_1$ ). No nontrivial topological order.
- Possible fractional phase is restricted by color  $N$ :  
e.g.  $\mathbb{Z}_4, \mathbb{Z}_7, \mathbb{Z}_{10}, \dots$  are allowed for  $N = 3$  case.

## Summary

CFL phase of a  $U(N)$  gauge theory with  $N$ -Higgs fields is topologically ordered if the Higgs fields have non-trivial  $U(1)$  charge  $k$ .

- Non-Abelian vortex and Wilson loop has  $\mathbb{Z}_{Nk+1}$  fractional linking phase.
- There are  $\mathbb{Z}_{Nk+1}$  1- and 2-form symmetries, and both of them are spontaneously broken.
- Adding  $\theta$ -term: self linking number of  $S$  also contributes to the correlation function.

Future work: more general gauge group, numerical solutions of charge  $k$  non-Abelian vortex, ...

## Appendix

# Derivation of correlation function in $BF$ -theory - I

[cf. Chen, Tiwari, Ryu '15 [1509.04266]]

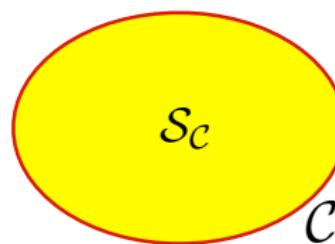
Let us evaluate the following correlation function

$$\langle W(\mathcal{C})V(\mathcal{S}) \rangle = \mathcal{N} \int \mathcal{D}A \mathcal{D}B e^{\frac{i k}{2\pi} \int B \wedge dA + i \int_{\mathcal{C}} A + i \int_{\mathcal{S}} B}$$

By the Stokes' theorem, we can rewrite the integration  $\int_{\mathcal{C}} A$  as

$$\int_{\mathcal{C}} A = \int_{\partial \mathcal{S}_{\mathcal{C}}} A = \int_{\mathcal{S}_{\mathcal{C}}} dA.$$

$\mathcal{S}_{\mathcal{C}}$  is a 2D surface whose boundary is  $\mathcal{C}$



# Derivation of correlation function in $BF$ -theory - II

[cf. Chen, Tiwari, Ryu '15 [1509.04266]]

Surface integral  $\rightarrow$  spacetime integral

$$\int_{\mathcal{S}_C} dA = \int dA \wedge \delta_2(\mathcal{S}_C) = \frac{1}{2!} \int F_{mn} J^{mn}(\mathcal{S}_C).$$

$\delta_2(\mathcal{S}_C)$ : delta function 2-form for  $\mathcal{S}_C$ :

$$\delta_2(\mathcal{S}_C) = \frac{\epsilon_{mnpq}}{2!2!} \left( \int_{\mathcal{S}_C} dy^m \wedge dy^n \delta^4(x - y) \right) dx^p \wedge dx^q.$$

$$J^{mn}(\mathcal{S}_C) = \int_{\mathcal{S}_C} dy^m \wedge dy^n \delta^4(x - y)$$

Derivation

$$\begin{aligned} \int_{\mathcal{S}_C} dA &= \frac{1}{2!} \int_{\mathcal{S}_C} dy^m \wedge dy^n F_{mn}(y) \\ &= \frac{1}{2!} \int d^4x \int_{\mathcal{S}_C} dy^m \wedge dy^n F_{mn}(x) \delta^4(x - y) \\ &= \frac{1}{2!} \int d^4x F_{mn}(x) \int_{\mathcal{S}_C} dy^m \wedge dy^n \delta^4(x - y) \end{aligned}$$

## Derivation of correlation function in $BF$ -theory - III

[cf. Chen, Tiwari, Ryu '15 [1509.04266]]

The correlation function can be rewritten as

$$\langle V(\mathcal{S})W(\mathcal{C}) \rangle = \mathcal{N} \int \mathcal{D}A \mathcal{D}B e^{\frac{ik}{2\pi} \int (B + \frac{2\pi}{k} \delta_2(\mathcal{S}_C)) \wedge dA + i \int_{\mathcal{S}} B}.$$

By the redefinition  $B + \frac{2\pi}{k} \delta_2(\mathcal{S}_C) \rightarrow B$ , we have

Integrating  $W(\mathcal{C})$

$$\begin{aligned} \langle V(\mathcal{S})W(\mathcal{C}) \rangle &= e^{-\frac{2\pi i}{k} \int_{\mathcal{S}} \delta_2(\mathcal{S}_C)} \mathcal{N} \int \mathcal{D}A \mathcal{D}B e^{\frac{ik}{2\pi} \int B \wedge dA + i \int_{\mathcal{S}} B} \\ &= e^{-\frac{2\pi i}{k} \int_{\mathcal{S}} \delta_2(\mathcal{S}_C)} \langle V(\mathcal{S}) \rangle. \end{aligned}$$

One can integrate out  $\int_{\mathcal{S}} B$  similarly.

## Derivation of correlation function in $BF$ -theory - IV

[cf. Chen, Tiwari, Ryu '15 [1509.04266]]

$\int_{\mathcal{S}} B$  can be rewritten as

$$\int_{\mathcal{S}} B = \int_{\partial \mathcal{V}_{\mathcal{S}}} B = \int_{\mathcal{V}_{\mathcal{S}}} dB_2 = \int dB_2 \wedge \delta_1(\mathcal{V}_{\mathcal{S}}) = - \int B_2 \wedge d\delta_1(\mathcal{V}_{\mathcal{S}}),$$

where  $\partial \mathcal{V}_{\mathcal{S}} = \mathcal{S}$ .

The correlation function can be written as

$$\langle V(\mathcal{S})W(\mathcal{C}) \rangle = e^{-\frac{2\pi i}{k} \int_{\mathcal{S}} \delta_2(\mathcal{S}_{\mathcal{C}})} \mathcal{N} \int \mathcal{D}A \mathcal{D}B e^{\frac{ik}{2\pi} \int B \wedge d(A - \frac{2\pi}{k} \delta_1(\mathcal{V}_{\mathcal{S}}))}$$

By the redefinition  $A \rightarrow A + \frac{2\pi}{k} \delta_1(\mathcal{V}_{\mathcal{S}})$ , we have

### Correlation function

$$\langle V(\mathcal{S})W(\mathcal{C}) \rangle = e^{-\frac{2\pi i}{k} \int_{\mathcal{S}} \delta_2(\mathcal{S}_{\mathcal{C}})}$$

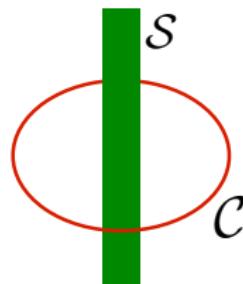
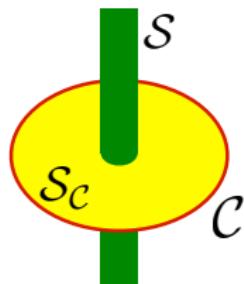
What is  $\int_{\mathcal{S}} \delta_2(\mathcal{S}_{\mathcal{C}})$ ?

## Derivation of correlation function in $BF$ -theory - V

[cf. Chen, Tiwari, Ryu '15 [1509.04266]]

### Linking number

$$\int_{\mathcal{S}} \delta_2(\mathcal{S}_{\mathcal{C}}) = \text{link}(\mathcal{C}, \mathcal{S})$$



- $\int_{\mathcal{S}} \delta_2(\mathcal{S}_{\mathcal{C}})$ : intersection number between  $\mathcal{S}_C$  and  $\mathcal{S}$ .

This is equal to the **linking number** between  $\mathcal{C}$  and  $\mathcal{S}$ .

# Derivation of correlation function in $BF$ -theory - VI

[cf. Chen, Tiwari, Ryu '15 [1509.04266]]

Therefore, we obtain

## Correlation function

$$\langle V(\mathcal{S})W(\mathcal{C}) \rangle = e^{-\frac{2\pi i}{k} \text{link}(\mathcal{C}, \mathcal{S})}.$$

[Back]

## Bibliography

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